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Transmitted Via Electronic Mail

April 8, 2025

Honorable Lourdes A. Leon Guerrero Governor of Guam Office of the Governor 513 W. Marine Corps Drive Hagåtña, Guam 96910

Honorable Benjamin J. F. Cruz Public Auditor Office of Public Accountability 238 Archbishop Flores St. Suite 401 DNA Bldg. Hagåtña, GU 96910 Honorable Frank F. Blas, Jr. Speaker, 38th Guam Legislature *I 'Mina Trentai ocho Na Liheslaturan Guåhan* Guam Congress Building 163 Chalan Santo Papa Hagåtña, Guam 96910

Honorable Douglas B. Moylan Attorney General of Guam Office of the Attorney General of Guam 590 S. Marine Corps. Dr. Suite 901 Tamuning, Guam 96913

Re: Citizen Centric Report (CCR) for Fiscal Year ending September 30, 2023

Håfa Adai Governor Leon Guerrero, Speaker Blas, Public Auditor Cruz, and Attorney General Moylan,

I am pleased to present the Guam Ethics Commission's Citizen Centric Report for Fiscal Year ending September 30, 2023 pursuant to Public Law 30-127 Section 2(a). This four (4) page report provides the people of Guam information on the Commission's mission, goals, objectives, finances, challenges and future outlook.

The Guam Ethics Commission will post the CCR on our website: <u>www.guamethics.com</u>. If I can provide further assistance or clarification, please feel free to contact me through email at <u>reuben.bugarin@ethics.guam.gov</u>.

Si Yu'os Ma'ase!

REUBEN C. BUGARIN, LPEC Acting Executive Director

The Guam Ethics Commission

Kumisión i Ginihan Areklamenton Guåhan

CITIZEN CENTRIC REPORT OCT. 2022-SEP. 2023 **FY2023** THE COMMISSION Table of Contents ABOUT US......1 PERFORMANCE..... 2 **Christopher Cruz Margaret Tyguiengco Marilvn Boria** FINANCES..... 3 Chairman Vice_Chairwoman Commissioner CHALLENGES & OUTLOOK **Robert Jack. MD Shannon Murphy Daphne Leon Guerrero** TALK TO US Commissioner Commissioner **Commissioner** 671-969-5625 **COMMISSION STAFF** www.guamethics.com info@ethics.guam.gov JESSE JOHN QUENGA **REUBEN C. BUGARIN** ARIELLE L. NAVARRO PAMELA D. MABAZZA EXECUTIVE **ADMINISTRATIVE** 134 W. Soledad Ave., BOH **ETHICS INVEST. & ETHICS INVEST. &** COMPLIANCE OFFICER II COMPLIANCE OFFICER II ASSISTANT DIRECTOR Bldg., Suite 406, Hagatna, WHAT WE DO Guam 96910 MISSION **Educational Training** Guidance 02 01 The Guam Ethics Commission's mandate The Commission issues informal Following the enactment of is to uplift the public's confidence in guidance on all aspects of the Guam Public Law 36-25, in addition to government employees, programs, and Ethics Code, including but not limited to: elected and appointed officials,

- An employee's responsibility when receiving or accepting gifts from private or government sources
- A Public Official's responsibility when filing financial disclosures and gift disclosures
- An employee's post-employment restrictions after separating from government service.

03 Ensuring Compliance with disclosure laws

All financial disclosure statements filed by Government of Guam officials shall be maintained by the Guam Ethics Commission during the term of office of the employee, and for a period of three years thereafter. Following the enactment of Public Law 36-25, in addition to elected and appointed officials, all Government of Guam employees shall attend and complete the Ethics in Government Program hosted by the Guam Ethics Commission, or other provider approved by the Guam Ethics Commission.

04 Enforcing Ethics Laws

The Commission shall receive and review complaints and conduct confidential investigations concerning potential violations. operations by ensuring the practice and promotion of the highest standards of ethical behavior in the government of Guam.



PERFORMANCE

4,207 22 31 GOVERNMENT EMPLOYEES AND OFFICIALS TRAINED.

ETHICS IN GOVERNMENT WORKSHOPS CONDUCTED. PERCENT OF GOVERNMENT EMPLOYEES AND OFFICIALS TRAINED OUT OF 12,080.

AGENCIES 80-100% COMPLIANT IN FULFILLING THE ETHICS IN GOVERNMENT PROGRAM MANDATE. 100%

35%

PERCENT OF AGENCIES THAT HAVE SUBMITTED ETHICS TRAINING COMPLIANCE REPORT.

MAJOR ACCOMPLISHMENTS

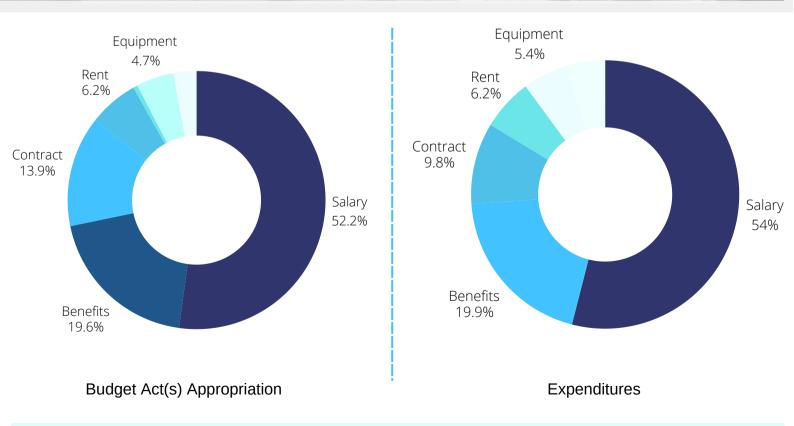
- Commissioners Christopher Cruz and Meg Tyquiengco attended the 2022 Council on Governmental Ethics Laws Conference. This conference presented a significant opportunity for our commissioners to engage with peers, experts, and leaders from various districts, all focused on enhancing ethical governance.
- In January 2023, the Ethics Investigation and Compliance Officer Position series were approved and recruitment began for these positions.
- The Commission conducted a study concerning employee perceptions on compliance with ethics training and appropriate penalties for noncompliance.
- The Commission obtained a 33% increase in local appropriation from the general fund to the Commission. Further, legislative authorization was secured for the third year in a row for the Commission to utilize available funds in the Government Ethics Special Fund. This authorization helps the Commission satisfy any unmet need experienced from the lack of general appropriation funding.
- The Commission successfully prepared and published its First Summary of Opinions, an annual summary of its decisions and orders made by the Commission from March 2022 to March 2023.
- The Commission forwarded 6 Ethics Complaint Cases to the Office of the Attorney General of Guam for possible filing of criminal charges.



Ethics in Government Workshop conducted by Atty. Vince Camacho

FINANCES

Account Code	Budget Act(s) Appropriations	Expenditures
111 Salary	\$175,820	\$183,628
113 Benefits	\$63,856	\$67,671
OTAL Personnel Services	\$239,676	\$251,299
220 Travel	\$12,487	\$7,626
230 Contract	\$30,948	\$9,765
233 Rent	\$65,993	\$65,993
240 Supplies	\$1,000	\$86
290 Miscellaneous	\$5,171	\$18,446
TOTAL Operations	\$115,599	\$101,915
TOTALS	\$355,275	\$353,215



The Guam Ethics Commission is included in a Government-wide audit. An independent audit was conducted and released on February 8, 2025. Complete financial information can be found at: https://opaguam.org/reports-audits/financial-audits

CHALLENGES & OUTLOOK

Staff Recruitment



Adopt

SOPs

Recruit and hire for Ethics Investigation and Compliance Officer Series.

COGEL

Training Resources

Access to COGEL training materials. network, and resources to enhance online



ethics training portal.



Draft and adopt standard operating procedures for complaints, processing financial management, invoices, and certificates.

Media **Services**



Procure media and video production services to create material for the Commission.

Ethics

Ensure adequate number of Training ethics training workshops are conducted to meet mandate in 2024.

Ethics Publication



Submit contributions to the 2023 Ethics Bluebook Publication for the Council on Governmental Ethics Laws.

"I couldn't be more proud of the incredible progress we've made so far. The dedication and passion our team has shown in every project are a testament to the hard work we've all put in. I'm thrilled to continue our journey, and the next steptraining all of our government employees—will empower us to achieve even greater things together. I'm excited to see everyone grow and contribute to our agency's continued success!

These achievements serve as a testament to our unwavering dedication and efforts as an organization. With the unwavering support of the Commission and the professional staff, we remain resolute in upholding our mission and making a profound and positive impact on Guam."

Jesse John Quenga, Executive Director





Do you like this report? Is there any other information you would like to see included? Please let us know by contacting Ethics Investigation and Compliance Officer II Reuben C. Bugarin at reuben.bugarin@ethics.guam.gov.



Fwd: Guam Ethics Commission Citizen Centric Report FY2023

1 message

Benjamin Cruz <bjcruz@guamopa.com>

Tue, Apr 8, 2025 at 5:26 PM

To: Vincent Duenas <vduenas@guamopa.com>, Jerrick Hernandez jhernandez@guamopa.com>, Thyrza Bagana <tbagana@guamopa.com>, Joy Esperanza jesperanza@guamopa.com>, Maryann Manglona <mmanglona@guamopa.com>, Frederick Jones <fjones@guamopa.com>, Thomas Eladio Battung <tbattung@guamopa.com>, Mariella Cruz <mcruz@guamopa.com>, Ren Jalandoni <rjalandoni@guamopa.com>, Selina Onedera-Salas <sonederasalas@guamopa.com>, Kayleen Concepcion <kconcepcion@guamopa.com>, Melissa Ngiralmau <mngiralmau@guamopa.com>, Thomas Quichocho <tquichocho@guamopa.com>, Leonanie Leon Guerrero leonguerrero@guamopa.com>

Benjamin J. F. Cruz

Public Auditor

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------Forwarded message -------From: **Reuben C. Bugarin** <<u>Reuben.Bugarin@ethics.guam.gov</u>> Date: Tue, Apr 8, 2025 at 4:45 PM Subject: Guam Ethics Commission Citizen Centric Report FY2023 To: Jean S. Taitano <jean.taitano@guam.gov>, speakerblas@guamlegislature.gov <speakerblas@guamlegislature.gov>, Office of Public Accountability <admin@guamopa.com>, administration@oagguam.org <administration@oagguam.org> Cc: Ethics Info <Info@ethics.guam.gov>, Benjamin Cruz <bjcruz@guamopa.com>

Håfa Adai,

On behalf of the Guam Ethics Commission, I respectfully transmit the Guam Ethics Commission's FY2023 Citizen Centric Report in accordance with Title 1 Guam Code Annotated Chapter 19 § 1922(a). If our office can provide anything further, please feel free to contact me. Thank you.

Best regards,



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